

Substitute for form 1449/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		Application Number	10/815,251
		Filing Date	March 30, 2004
		First Named Inventor	Satyajit Nath
		Art Unit	2132
		Examiner Name	Thomas R. Peeso
Sheet	1	of	16
		Attorney Docket Number	2222.5500000

U.S. PATENT DOCUMENTS					
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/T.P.	US1	4,203,166	05-13-1980	Eshram et al.	
	US2	4,734,568	03-29-1988	Watanabe	
	US3	4,757,533	07-12-1988	Allen et al.	
	US4	4,799,258	01-17-1989	Davies	
	US5	4,888,800	12-19-1989	Marshall et al.	
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	US7	5,032,979	07-16-1991	Hecht et al.	
	US8	5,052,040	09-24-1991	Preston et al.	
	US9	5,058,164	10-15-1991	Elmer et al.	
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	US11	5,204,897	04-20-1993	Wyman	
	US12	5,220,657	06-15-1993	Bly et al.	
	US13	5,247,575	09-21-1993	Sprague et al.	
	US14	5,276,735	01-04-1994	Boebert et al.	
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/T.P.	FP1	EP 0 674 253 A1	09-27-1995	Kabushiki Kaisha Toshiba	
	FP2	EP 0 809 170 A1	11-26-1997	NCR International Inc.	
	FP3	EP 0 913 966 A2	05-06-1999	Sun Microsystems, Inc.	
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	FP6	EP 1 130 492 A2	09-05-2001	Sony Corporation	
	FP7	EP 1 154 348 A2	11-14-2001	Matsushita Electronics Corp.	
	FP8	EP 1 107504 A2	06-13-2001	Benayoun et al.	
/T.P.	FP9	EP 0 672 991 A2	09-20-1995	Narasimhalu et al.	

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	US22	5,495,533	02-27-1996	Linehan et al.	
	US23	5,499,297	03-12-1996	Boebert	
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	FP11	JP 2001-036517	02-09-2001	Lucent Technol, Inc.	
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/T.P./	FP17	WO 01/84271 A2	11-08-2001	Certia, Inc.	
	FP18				

Examiner Signature	/Thomas Peeso/	Date Considered	04/14/2008
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	US42	5,748,736	05-05-1998	Mitra	
	US43	5,751,287	05-12-1998	Hahn et al.	
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	US57	5,870,477	02-09-1999	Sasaki et al.	
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/T.P./	FP19				
	FP20				
	FP21				
	FP22				
	FP23				
	FP24				
	FP25				
	FP26				
/T.P./	FP27				

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	US73	6,044,155	03-28-2000	Thomlinson et al.	
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	US75	6,058,424	05-02-2000	Dixon et al.	
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/T.P./	FP28				
	FP29				
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	FP33				
	FP34				
	FP35				
	FP36				

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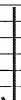
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/T.P.	US81	6,134,658	10-17-2000	Multerer et al.	
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/T.P./	FP37					
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	FP39					
	FP40					
	FP41					
	FP42					
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	FP44					
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/T.P./	US120	6,421,714 B1	07-16-2002	Rai et al.	

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Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>2</sup> Number <sup>2</sup> Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/T.P./	FP46				
	FP47				
	FP48				
	FP49				
	FP50				
	FP51				
	FP52				
	FP53				
	FP54				

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Sheet		7	of	16	
				Application Number	10/815,251
				Filing Date	March 30, 2004
				First Named Inventor	Satyajit Nath
				Art Unit	2132
				Examiner Name	Thomas R. Peeso
				Attorney Docket Number	2222.5500000

U.S. PATENT DOCUMENTS					
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/T.P./	US121	6,442,688 B1	08-27-2002	Moses et al.	
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	US123	6,449,721 B1	09-10-2002	Pensak et al.	
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	US125	6,466,932 B1	10-15-2002	Dennis et al.	
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	US128	6,505,300 B2	01-07-2003	Chan et al.	
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		Country Code <sup>2</sup> Number <sup>2</sup> Kind Code <sup>3</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document		
/T.P/ 	FP55					
	FP56					
	FP57					
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	FP61					
	FP62					
	FP63					
	/T.P/					

Examiner Signature	/Thomas Peeso/	Date Considered	04/14/2008
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			Art Unit	2132	
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	US142	6,615,349 B1	09-02-2003	Hair	
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	FP64				
	FP65				
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	FP67				
	FP68				
	FP69				
	FP70				
	FP71				
	FP72				

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	FP73				
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			Examiner Name	Thomas R. Peeso	
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	FP83				
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	FP86				
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	FP88				
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		Number-Kind Code <sup>2</sup> (if known)			
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Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	FP82				
	FP83				
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	FP89				
	FP90				

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				Filing Date	March 30, 2004
				First Named Inventor	Satyajit Nath
				Art Unit	2132
				Examiner Name	Thomas R. Peeso
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/T.P./	US241	2002/0069272 A1	06-06-2002	Kim et al.	
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Sheet		14	of	16	Application Number 10/815,251
					Filing Date March 30, 2004
					First Named Inventor Satyajit Nath
					Art Unit 2132
					Examiner Name Thomas R. Peeso
					Attorney Docket Number 2222.5500000

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				Filing Date	March 30, 2004
				First Named Inventor	Satyajit Nath
				Art Unit	2132
				Examiner Name	Thomas R. Peeso
				Attorney Docket Number	2222.5500000
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				Examiner Name	Thomas R. Peeso
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/T.P./	NPL1	Expiration Mechanism for Chipcards, IBM Technical Disclosure Bulletin, October 1, 2001, UK	
	NPL2	McDANIEL et al. "Antigone: A Flexible Framework for Secure Group Communication," Proceedings of the 8th USENIX Security Symposium, August 23, 1999	
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	NPL5	"Inside Encrypting file system," Part 1, from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001	
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	NPL7	"Security with Encrypting File System," from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001	
	NPL8	"How EFS work," from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001	
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/T.P./	NPL10	"Features of EFS" from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001	

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	NPL12	Microsoft Windows 2000 server. Windows 2000 Group Policy White Paper, 2000	
	NPL13	Symantec. Norton Antivirus Corporate Edition Implementation Guide, 1999.	
	NPL14	Crocker, Steven Toye, "Multi-level cryptographic transformations for securing digital assets," U.S. Patent Application No. 10/404,566, filed 03/31/2003.	
	NPL15	Crocker, Steven Toye, "Effectuating access policy changes to designated places for secured files," U.S. Patent Application No. 10/259,075, filed 09/27/2002.	
	NPL16	Kenrich, Michael Frederick, "Multi-Level File Digest", U.S. Patent Application No. 10/894,493, filed 07/19/2004	
	NPL17	Kinghorn, Gary Mark, "Method and system for protecting electronic data in enterprise environment," U.S. Patent Application No. 10/159,220, filed 05/31/2002	
	NPL18	Nath, Satyajit, "Method and system for securing digital assets using content type designations," U.S. Patent Application No. 10/405,587, filed 04/01/2003.	
	NPL19	Prakash, Nalini J., "Method and apparatus for securing/unsecuring files by file crawling," U.S. Patent Application No. 10/325,102, filed 12/20/2002	
/T.P./	NPL20	Rossmann, Alain, "Hybrid systems for securing digital assets," U.S. Patent Application No. 10/325,013, filed 12/20/2002.	

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		<b>Application Number</b>	10/815,251
		<b>Filing Date</b>	March 30, 2004
		<b>First Named Inventor</b>	Satyajit Nath
		<b>Art Unit</b>	2132
		<b>Examiner Name</b>	Thomas R. Peeso
<b>Sheet</b>	3	of	6
		<b>Attorney Docket Number</b>	2222.5500000

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/T.P./	NPL21	A Real-Time Push-Pull Communications Model for Distributed Real-Time and Multimedia Systems, January 1999, School of Computer Sciences Carnegie Mellon University, Kanaka Juvva, Raj Rajkumar.	
	NPL22	U.S. patent application, Ser No. 10/889,685, entitled "Method and Apparatus for Controlling the Speed Ranges of a Machine " inventor Thomas, July 13, 2004, 18 pgs.	
	NPL23	U.S. patent application, Ser No. 10/028,397, entitled "Method and system for restricting use of a clipboard application, " inventor Zuili, December 21, 2001, 38 pgs.	
	NPL24	U.S. patent application, Ser No. 10/368,277, entitled "Method and apparatus for uniquely identifying files, " inventor Ouye, February 18, 2003, 25 pgs.	
	NPL25	U.S. patent application, Ser No. 10/327,320, entitled "Security system with staging capabilities " inventor Vainstein, December 20, 2002, 39 pgs.	
	NPL26	U.S. patent application, Ser No. 10/286,524, entitled "Security system that uses indirect password-based encryption, " inventor Gutnik, November 1, 2002, 38 pgs.	
	NPL27	U.S. patent application, Ser No. 10/242,185, entitled "Method and system for protecting encrypted files transmitted over a network " inventor Ryan, September 11, 2002, 33 pgs.	
	NPL28	U.S. patent application, Ser No. 10/642,041, entitled "Method and system for fault-tolerant transfer of files across a network " inventor Kenrich, August 15, 2003, 32 pgs.	
	NPL29	U.S. patent application, Ser No. 10/610,832, entitled "Method and system for enabling users of a group shared across multiple file security systems to access secured files " inventor Ryan, June 30, 2003, 33 pgs.	
✓ /T.P./	NPL30	U.S. patent application, Ser No. 10/448,806, entitled "Method and System for Using Remote Headers to Secure Electronic Files " inventor Ryan, May 30, 2003, 35 pgs.	

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/T.P./	NPL31	U.S. patent application, Ser No. 10/074,194, entitled "Methods for identifying compounds that inhibit or reduce PTP1B expressions" inventor Rondinone, February 12, 2002, 69 pgs.	
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	NPL33	U.S. patent application, Ser No. 10/075,194, entitled "System and Method for Providing Multi-location Access Management to Secured Items," inventor Vainstein et al., February 12, 2002, 110 pgs.	
	NPL34	U.S. patent application, Ser No. 10/074,996, entitled "Method and Apparatus for Securing Electronic Data," inventor Lee et al., February 12, 2002, 111 pgs.	
	NPL35	U.S. patent application, Ser No. 10/074,825, entitled "Method and Apparatus for Accessing Secured Electronic Data Off-line," inventor Lee et al., February 12, 2002, 108 pgs.	
	NPL36	U.S. patent application, Ser No. 10/105,532, entitled "System and Method for Providing Different Levels of Key Security for Controlling Access to Secured Items," inventor Hildebrand et al., March 20, 2002, 86 pgs.	
	NPL37	U.S. patent application, Ser No. 10/186,203, entitled "Method and System for Implementing Changes to Security Policies in a Distributed Security System," inventor Huang, June 26, 2002, 65 pgs.	
	NPL38	U.S. patent application, Ser No. 10/201,756, entitled "Managing Secured Files in Designated Locations," inventor Alain, July 22, 2002, 121 pgs.	
	NPL39	U.S. patent application, Ser No. 10/206,737, entitled "Method and System for Updating Keys in a Distributed Security System," inventor Hildebrand, July 26, 2002, 60 pgs.	
↓ /T.P./	NPL40	U.S. patent application, Ser No. 10/246,079, entitled "Security System for Generating Keys from Access rules in a Decentralized Manner and Methods Therefor," inventor Hildebrand, September 17, 2002, 78 pgs.	

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	NPL43	U.S. patent application, Ser No. 10/295,363, entitled "Security System Using Indirect Key Generation from Access Rules and Methods Therefor," inventor Vainstein, November 15, 2002, 70 pgs.	
	NPL44	U.S. patent application, Ser No. 11/889,310, entitled "Methods and Systems for Providing Access Control to Electronic Data," inventor Rossmann, August 10, 2007, 90 pgs.	
	NPL45	U.S. patent application, Ser No. 11/797,367, entitled "Method and System for Managing Security Tiers," inventor Vainstein, May 2, 2007, 11 pgs.	
	NPL46	Adobe Acrobat 5.0 Classroom in a Book, Adobe Press, 26 June 2001, pp.1-4.	
	NPL47	Adobe Acrobat Security Settings, Acrobat 7.0, 15 Nov 2004, pp.1-4.	
	NPL48	"Security Options". December 20, 2001. DC & Co. pages 1-2	
	NPL49	Microsoft Press Computer Dictionary, 1997, Microsoft Press, Third Edition, page 426.	
/T.P.	NPL50	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8530, 2 pages.	

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/T.P./	NPL51	Search Report, completion date October 2, 2003, for European Patent Application No. EP 02 25 8531, 2 pages.		
	NPL52	Search Report, completion date April 14, 2005, for European Patent Application No. EP 02 25 8533, 2 pages.		
	NPL53	Search Report, completion date March 16, 2005, for European Patent Application No. EP 02 25 8534, 2 pages.		
	NPL54	Search Report, completion date March 2, 2005, for European Patent Application No. EP 02 25 8535, 2 pages.		
	NPL55	Search Report, completion date March 3, 2005, for European Patent Application No. EP 02 25 8537, 2 pages.		
	NPL56	Search Report, completion date May 12, 2005, for European Patent Application No. EP 02 25 8539, 2 pages.		
	NPL57	Search Report, completion date July 6, 2005, for European Patent Application No. EP 02 25 8529, 4 pages.		
	NPL58	Search Report, completion date October 8, 2003, for European Patent Application No. EP 02 25 8536, 2 pages.		
	NPL59	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8540, 2 pages.		
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